



POLITICAL ACTIVITIES & CONTRIBUTIONS



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2017 ANNUAL REPORT POLITICAL ACTIVITIES & CONTRIBUTIONS

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THE HARTFORD ADVOCATES FUND THE HARTFORD ADVOCATES FEDERAL FUND

Frequently Asked Questions

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WHAT IS A POLITICAL ACTION COMMITTEE (PAC)?

Political Action Committees (PACs) formed by individuals, groups or companies allow individual contributions to be pooled and used to support candidates and incumbents whose views are consistent with the mission of the group or organization. Many different constituencies, including business groups, trade associations, labor unions, and elected officials establish PACs. PACs are a transparent way for citizens to meaningfully engage in the political process.

THE HARTFORD PACs

The Hartford has two PACs, The Hartford Advocates Fund and The Hartford Advocates Federal Fund (the Funds). They are solely funded by voluntary contributions from eligible employees in management level roles. They support candidates for federal and state office who are interested in understanding insurance issues and engage in developing public policy to address them.

MISSION

On The Hartford's path to being an exceptional company, we need an exceptional voice. Members of the Funds help to amplify the voice of our company, customers and shareholders through their leadership and advocacy. The Funds contribute to candidates, regardless of party, who generally hold leadership positions and have a practical understanding of the public policy issues facing the insurance industry.

HOW DO WE DO THIS?

We want to be a leader within our industry and contribute constructively to good public policy. The Funds are crucial advocacy tools which help amplify The Hartford's exceptional voice with key officials and policymakers. The Funds support candidates for public office who are interested in understanding insurance issues and engage in developing public policy to address them.

WHY IS PARTICIPATION IMPORTANT?

The Hartford's exceptional voice is best amplified in Washington, D.C. and across state capitols when we help elect thoughtful members to office. As a part of our Code of Ethics and Business Conduct, The Hartford does not make corporate contributions to political candidates or parties even where allowed by law. Through employees' voluntary contributions, The Funds gives us the ability to engage with thoughtful candidates and policymakers.

GOVERNANCE

The Funds are governed by a Board of Directors, their by-laws, federal law (Federal Election Campaign Act) and various state laws. Every contribution is first reviewed to ensure it is consistent with applicable federal and state laws, our giving criteria, and is approved by the President of the Funds. To comply with the SEC's pay-to-play rule, The Hartford employees who are considered "covered associates" make contributions to the Federal Fund, which supports federal candidates only. Transparency of the Funds' activities is provided through public monthly report of receipts and disbursements to the Federal Election Commission (this is public on www.fec.gov) and to state campaign finance departments as required by state law.

BOARD OF DIRECTORS

Beth Bombara
Chairman

Thomas Bartell
President

Scott Lewis
Treasurer

Mike Hazel
Assistant Treasurer

Bridget Dunn
Vice President &
Secretary

Robert Yass
Counsel

Officers as of 2017.
The Board oversees
both The Hartford
Advocate Fund and The
Hartford Advocates
Federal Fund PACs.

THE HARTFORD ADVOCATES FUND
THE HARTFORD ADVOCATES FEDERAL FUND
2017 FINANCIAL STATEMENT

FINANCIAL SUMMARY PG. 5

2017 FINANCIAL SUMMARY

	Hartford Advocates Fund (State-Federal PAC)	Hartford Advocates Federal Fund (Federal-Only PAC)
Receipts		
Payroll Deduction	\$286,521.85	\$91,208.95
General Contribution (checks)	\$1,125.00	\$19,030.00
Interest	\$34.22	\$0.00
Total Receipts	\$287,681.07	\$110,238.95
Disbursements		
Federal Level Contributions	\$86,500.00	\$116,500.00
State Level Contributions	\$145,000.00	\$0.00
Dues to Political Organizations	\$20,000.00	\$0.00
Trade Association PAC to PAC	\$20,000.00	\$11,000.00
Operating Expenses	\$95.00	\$937.75
Refunded PAC Contributions	\$0.00	\$0.00
Contingency/Miscellaneous Exp	\$50.00	\$0.00
Total Disbursements	\$271,645.00	\$128,437.75
Net Income/(Loss)	\$16,036.07	-\$18,198.80
Cash Balance - Prior Year-End	\$114,193.95	\$48,221.99
Net Income/(Loss)	\$16,036.07	-\$18,198.80
Cash Balance - December	\$130,230.02	\$30,023.19

THE HARTFORD ADVOCATES FUND THE HARTFORD ADVOCATES FEDERAL FUND

DISBURSEMENTS

DISBURSEMENTS PG. 7 | DISBURSEMENT METRICS PG. 12

THE HARTFORD ADVOCATES FUNDS: CONTRIBUTIONS TO FEDERAL CANDIDATES

Candidate	State	Office	Amount
Rep. Terri A. Sewell (D)	AL	House	\$1,000.00
Rep. David Schweikert (R)	AZ	House	\$1,000.00
Rep. Krysten Sinema (D)	AZ	House	\$4,000.00
Rep. Kevin McCarthy (R)	CA	House	\$3,000.00
Rep. Mike Thompson (D)	CA	House	\$1,000.00
Rep. Ed Royce (R)	CA	House	\$3,000.00
Rep. Scott Peters (D)	CA	House	\$1,000.00
Rep. Juan Vargas (D)	CA	House	\$1,000.00
Rep. Joe Courtney (D)	CT	House	\$2,000.00
Rep. Elizabeth Esty (D)	CT	House	\$4,000.00
Rep. John Larson (D)	CT	House	\$4,000.00
Rep. Carlos Curbelo (R)	FL	House	\$1,000.00
Rep. Dennis Ross (R)	FL	House	\$2,000.00
Rep. Darin LaHood (R)	IL	House	\$2,500.00
Rep. Randy Hultgren (R)	IL	House	\$2,000.00
Rep. Peter Roskam (R)	IL	House	\$6,000.00
Rep. Trey Hollingsworth (R)	IN	House	\$1,000.00
Rep. Michael Capuano (D)	MA	House	\$1,000.00
Rep. Richie Neal (D)	MA	House	\$4,000.00
Rep. Erik Paulsen (R)	MN	House	\$3,000.00
Rep. Blaine Luetkemeyer (R)	MO	House	\$2,000.00
Rep. George Holding (R)	NC	House	\$1,000.00
Rep. Virginia Foxx (R)	NC	House	\$1,000.00
Rep. Ann Kuster (D)	NH	House	\$1,000.00
Rep. Tom MacArthur (R)	NJ	House	\$1,000.00
Rep. Joseph Crowley (D)	NY	House	\$1,000.00
Rep. John Katko (R)	NY	House	\$1,000.00
Rep. Carolyn Maloney (D)	NY	House	\$1,000.00
Rep. Gregory Meeks (D)	NY	House	\$1,000.00
Rep. Tom Reed (R)	NY	House	\$1,000.00
Rep. Nydia Velazquez (D)	NY	House	\$4,000.00
Rep. Steve Strivers (R)	OH	House	\$1,000.00
Rep. Pat Tiberi (R)	OH	House	\$2,000.00
Rep. Matthew Cartwright (D)	PA	House	\$1,000.00
Rep. Charlie Dent (R)	PA	House	\$1,000.00
Rep. Kevin Brady (R)	TX	House	\$2,500.00
Rep. John Ratcliffe (R)	TX	House	\$2,500.00
Rep. Bob Goodlatte (R)	VA	House	\$1,000.00
Rep. Sean Duffy (R)	WI	House	\$3,000.00
Rep. Ron Kind (D)	WI	House	\$2,500.00
Rep. Gwen Moore (D)	WI	House	\$1,500.00

THE HARTFORD ADVOCATES FUNDS: CONTRIBUTIONS TO FEDERAL CANDIDATES (Cont'd)

Candidate	State	Office	Amount
Sen. Jeff Flake (R)	AZ	Senate	\$2,000.00
Sen. Chris Murphy (D)	CT	Senate	\$5,000.00
Sen. Tom Carper (D)	DE	Senate	\$4,500.00
Sen. Chuck Grassley (R)	IA	Senate	\$1,500.00
Sen. Mike Crapo (R)	ID	Senate	\$3,000.00
Sen. Joe Donnelly (D)	IN	Senate	\$3,000.00
Sen. Ben Cardin (D)	MD	Senate	\$1,000.00
Sen. Susan Collins (R)	ME	Senate	\$1,000.00
Sen. Claire McCaskill (D)	MO	Senate	\$1,000.00
Sen. Roger Wicker (R)	MS	Senate	\$2,500.00
Sen. Jon Tester (D)	MT	Senate	\$1,000.00
Sen. Thom Tillis (R)	NC	Senate	\$1,000.00
Sen. Heidi Heitkamp (D)	ND	Senate	\$6,500.00
Sen. Catherine Cortez Mastro	NV	Senate	\$1,000.00
Sen. Sherrod Brown (D)	OH	Senate	\$4,000.00
Sen. Rob Portman (R)	OH	Senate	\$1,000.00
Sen. Ron Wyden (D)	OR	Senate	\$2,000.00
Sen. Bob Casey (D)	PA	Senate	\$4,000.00
Sen. John Thune (R)	SD	Senate	\$1,000.00
Sen. Orrin Hatch (R)	UT	Senate	\$1,000.00
Sen. Joe Manchin (D)	WV	Senate	\$1,000.00
Sen. John Barrasso (R)	WY	Senate	\$1,500.00

THE HARTFORD ADVOCATES FUNDS: FEDERAL LEADERSHIP PAC/ ASSOCIATION PAC/ OTHER

PAC	Amount
Alamo PAC	\$3,000.00
American Council of Life Insurers PAC	\$1,000.00
American Insurance Association PAC	\$5,000.00
Booker Senate Victory	\$1,000.00
Citizens for Prosperity in America Today PAC	\$3,000.00
Financial Services Roundtable PAC	\$5,000.00
Hoyer's Majority Fund	\$1,000.00
Jobs and Innovation Matter PAC	\$5,000.00
Jobs, Economy and Budget Fund (JEB FUND)	\$5,000.00
Kaine Victory Fund	\$1,000.00
McConnell for Majority Leader Committee	\$5,000.00
More Conservatives PAC	\$2,500.00
MURPHPAC	\$5,000.00
New Democrat Coalition PAC	\$5,000.00
Rely on Your Beliefs Fund	\$2,000.00
Scalise Leadership Fund	\$1,500.00
Sensible American Solutions Supporting Everyone PAC	\$1,000.00
Synergy PAC	\$1,500.00

THE HARTFORD ADVOCATES FUNDS: FEDERAL LEADERSHIP PAC/ ASSOCIATION PAC/ OTHER (Cont'd)

PAC	Amount
Team Ryan	\$5,500.00
The Madison PAC	\$4,000.00
TOGETHER EVERYONE REALIZES REAL IMPACT AKA TERRI PAC	\$2,500.00
Together Holding our Majority PAC	\$2,000.00
Tomorrow Is Meaningful PAC-Tim PAC	\$2,000.00
Tuesday Group Political Action Committee	\$5,000.00
Young Hoosier Victory Fund	\$1,000.00

THE HARTFORD ADVOCATES FUNDS: CONTRIBUTIONS TO STATE CANDIDATES

Candidate	Office Sought	State	Amount
	California		
Assm. Brian Dahle (R)	Assembly District 001	CA	\$2,500.00
Assm. James Gallagher (R)	Assembly District 003	CA	\$1,000.00
Assm. Cecilia Aguiar-Curry (D)	Assembly District 004	CA	\$1,000.00
Assm. Frank Bigelow (R)	Assembly District 005	CA	\$1,000.00
Assm. Kevin Kiley (R)	Assembly District 006	CA	\$1,000.00
Assm. Ken Cooley (D)	Assembly District 008	CA	\$1,000.00
Assm. Jim Cooper (D)	Assembly District 009	CA	\$2,000.00
Assm. Marc Levine (D)	Assembly District 010	CA	\$1,000.00
Assm. Jim Frazier (D)	Assembly District 011	CA	\$1,000.00
Assm. Susan Eggman (D)	Assembly District 013	CA	\$1,000.00
Assm. Tim Grayson (D)	Assembly District 014	CA	\$2,000.00
Assm. Catherine Baker (R)	Assembly District 016	CA	\$1,000.00
Assm. Bill Quirk (D)	Assembly District 020	CA	\$1,000.00
Assm. Adam Gray (D)	Assembly District 021	CA	\$1,000.00
Assm. Marc Berman (D)	Assembly District 024	CA	\$1,000.00
Assm. Kansan Chu (D)	Assembly District 025	CA	\$1,000.00
Assm. Anna Caballero (D)	Assembly District 030	CA	\$1,000.00
Assm. Rudy Salas (D)	Assembly District 032	CA	\$1,000.00
Assm. Vincent Fong (R)	Assembly District 034	CA	\$1,000.00
Assm. Tom Lackey (R)	Assembly District 036	CA	\$1,000.00
Assm. Monique Limon (D)	Assembly District 037	CA	\$1,000.00
Assm. Dante Acosta (R)	Assembly District 038	CA	\$1,000.00
Assm. Raul Bocanegra (D)	Assembly District 039	CA	\$1,000.00
Assm. Chad Mayes (R)	Assembly District 042	CA	\$1,000.00
Assm. Matt Dababneh (D)	Assembly District 045	CA	\$2,000.00
Assm. Blanaca Rubio (D)	Assembly District 048	CA	\$1,000.00
Assm. Freddie Rodriguez (D)	Assembly District 052	CA	\$2,000.00
Assm. Miguel Santiago (D)	Assembly District 053	CA	\$1,000.00
Assm. Phillip Chen (R)	Assembly District 055	CA	\$1,000.00
Assm. Jim Calderon (D)	Assembly District 057	CA	\$1,000.00
Assm. Eduardo Garcia (D)	Assembly District 056	CA	\$1,000.00

THE HARTFORD ADVOCATES FUNDS: CONTRIBUTIONS TO STATE CANDIDATES (Cont'd)

Candidate	Office Sought	State	Amount
California (Cont'd)			
Assm. Cristina Garcia (D)	Assembly District 058	CA	\$1,000.00
Assm. Sabrina Cervantes (D)	Assembly District 060	CA	\$1,000.00
Assm. Jose Medina (D)	Assembly District 061	CA	\$2,000.00
Assm. Autumn Burke (D)	Assembly District 062	CA	\$2,500.00
Assm. Anthony Rendon (D)	Assembly District 063	CA	\$2,500.00
Assm. Mike Gipson (D)	Assembly District 064	CA	\$1,000.00
Assm. Sharon Quirk-Silva (D)	Assembly District 065	CA	\$1,000.00
Assm. Melissa Melendez (R)	Assembly District 067	CA	\$1,000.00
Assm. Tom Daly (D)	Assembly District 069	CA	\$1,500.00
Assm. Randy Voepel (R)	Assembly District 071	CA	\$1,000.00
Assm. Brian Maienchein (R)	Assembly District 077	CA	\$1,000.00
Assm. Todd Gloria (D)	Assembly District 078	CA	\$1,000.00
Assm. Lorena Gonzalez (D)	Assembly District 080	CA	\$1,000.00
Sen. Kevin de Leon (D)	Lieutenant Governor	CA	\$2,500.00
Sen. Mike McGuire (D)	Senate District 002	CA	\$1,000.00
Sen. Jim Nielsen (R)	Senate District 004	CA	\$1,000.00
Sen. Bill Dodd (D)	Senate District 003	CA	\$1,000.00
Sen. Richard Pan (D)	Senate District 006	CA	\$1,000.00
Sen. Robert Hertzberg (D)	Senate District 018	CA	\$2,000.00
Sen. Connie Leyva (D)	Senate District 020	CA	\$2,000.00
Sen. Anthony Portantino (D)	Senate District 025	CA	\$1,000.00
Sen. Henry Stern (D)	Senate District 027	CA	\$1,000.00
Sen. Josh Newman (D)	Senate District 029	CA	\$1,000.00
Sen. Holly Mitchell (D)	Senate District 030	CA	\$1,000.00
Sen. Richard Roth (D)	Senate District 031	CA	\$1,000.00
Sen. Tony Mendoza (D)	Senate District 032	CA	\$2,000.00
Sen. Janet Nguyen (R)	Senate District 034	CA	\$1,000.00
Sen. Steven Bradford (D)	Senate District 035	CA	\$3,500.00
Sen. Pat Bates (R)	Senate District 036	CA	\$2,500.00
Sen. Toni Atkins (D)	Senate District 039	CA	\$2,000.00
Georgia			
Rep. Rich Golick (R)	House District 040	GA	\$1,000.00
Rep. John Carson (R)	House District 040	CA	\$1,000.00
Louisiana			
Rep. Kirk Talbot (R)	House District 078	LA	\$1,000.00
Sen. John Smith (R)	Senate District 030	LA	\$1,000.00
Missouri			
Rep. John Wiemann (R)	House District 103	MO	\$1,000.00
Sen. Paul Wieland (R)	Senate District 022	MO	\$1,000.00
Sen. Ron Richard (R)	Senate District 032	MO	\$1,000.00

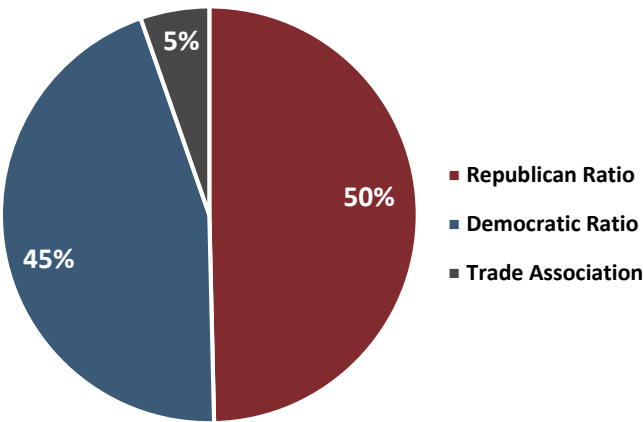
THE HARTFORD ADVOCATES FUNDS: CONTRIBUTIONS TO STATE CANDIDATES (Cont'd)

Candidate	Office Sought	State	Amount
New York			
Assm. Joseph Morelle (D)	Assembly District 136	NY	\$1,000.00
Sen. Michael Gianaris (D)	Senate District 012	NY	\$1,000.00
Sen. Jeffrey Klein (ID)	Senate District 034	NY	\$1,000.00
Sen. John DeFrancisco (R)	Senate District 050	NY	\$1,000.00
Sen. James Seward (R)	Senate District 051	NY	\$500.00
Ohio			
Sec. Jon Husted (R)	Secretary of State	OH	\$1,000.00
Pennsylvania			
Rep. Mike Turzai (R)	House District 028	PA	\$1,000.00
Texas			
Rep. Greg Bonnen (R)	House District 024	TX	\$1,250.00
Sen. Kelly Hancock (R)	Senate District 009	TX	\$1,250.00
Sen. Larry Taylor (R)	Senate District 011	TX	\$1,500.00
Virginia			
Attorney General Mark Herring (D)	Attorney General	VA	\$2,000.00

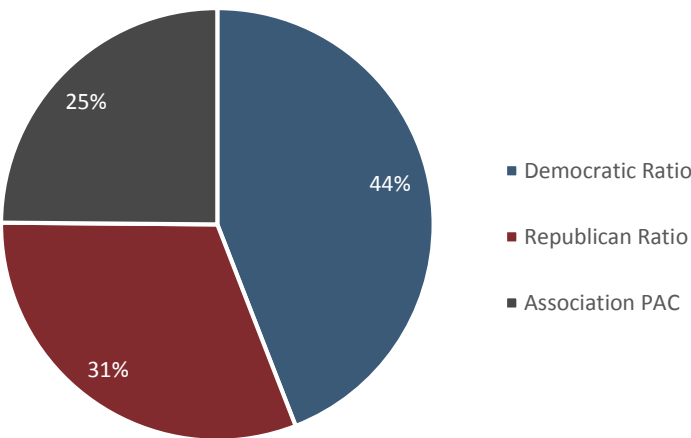
THE HARTFORD ADVOCATES FUNDS: STATE TRADE ASSOCIATION PAC/OTHER

PAC	Amount
ACLHIC PAC	\$ 6,000.00
American Insurance Association PAC California	\$ 7,300.00
CA Latino Leadership PAC	\$ 2,000.00
Californians for Jobs and a Strong Economy	\$ 2,500.00
Cannellas 2014 Officeholder Account	\$ 1,000.00
Connecticut Democratic State Central Committee - Federal Account	\$ 5,000.00
Connecticut Republican Party- Federal Account	\$ 5,000.00
Democratic Governors' Association	\$ 10,000.00
Disability Political Action Committee, Sponsored by ACLHIC	\$ 3,700.00
Ed Gillespie for Governor	\$ 5,000.00
Florida Democratic Party-Federal Account	\$ 5,000.00
Life Insurance Council of New York PAC (LICONY PAC)	\$ 5,000.00
MASSACHUSETTS REPUBLICAN PARTY	\$ 2,000.00
Missouri Insurance Coalition Political Action Committee	\$ 3,000.00
NYS Democratic Assembly Campaign Committee	\$ 3,500.00
NYS Senate Republican Campaign Committee	\$ 6,000.00
PA INSURANCE PAC (PIPAC)	\$ 1,000.00
Republican Governors Association	\$ 10,000.00
Senate Majority Fund	\$ 6,000.00
Taxpayers for Gains for BOE 2018	\$ 1,000.00
Values First Colorado	\$ 4,000.00

2017 Federal Contribution by Party



2017 State Contribution by Party



PUBLIC POLICIES AND PRACTICES

POLITICAL COMPLIANCE

CORPORATE POLICIES FOR POLITICAL PURPOSE PG. 14 | THE HARDFORD MEMBERSHIP IN TRADE ASSOCIATIONS
AND COALITIONS PG. 14

Corporate Contributions for Political Purposes

As part of our Code of Ethics and Business Conduct, we do not make corporate contributions to political candidates or parties, even where allowed by law. In accordance with this policy, we require that no portion of our dues paid to trade associations be used for political contributions.

Our Code of Ethics and Business Conduct permits the use of corporate resources for non-partisan political activity. Consistent with federal and state law, these activities include non-partisan voter education, including issue education, voter registration and grassroots lobbying to influence legislation or ballot referenda. These contributions may not be tied to the procurement of The Hartford's products and services and must comply with all applicable laws and regulations. In 2017, The Hartford did not use corporate resources to support the above activities which include 527 groups and 501(c)(4) organizations.

Any such contributions are publicly reported, updated quarterly and approved in advance by the Chief Executive Officer, the General Counsel and the Head of Government Affairs. They are also reported annually to the Nominating and Corporate Governance Committee of The Hartford's Board of Directors.

The Hartford Membership in Trade Associations and Coalitions

The Hartford is a member of state and federal trade associations and coalitions. These memberships are used as a resource for both industry information and to further advocate our public policy goals.

The Hartford has requested information regarding state and federal lobbying expenses from trade associations and coalitions to which The Hartford pays \$25,000 or more in annual dues. Based on the information we receive each quarter, the following amount of dues or payments to trade associations and coalitions were used for lobbying in 2017:

Organization	Total Dues	Lobbying (\$)
American Insurance Association (AIA)	\$2,593,421.00	\$163,746.18
Institute for Legal Reform (US Chamber of Commerce)	\$1,000,000.00	\$100,000.00
American Council of Life Insurers (ACLI)	\$215,846.00	\$18,789.85
Financial Services Roundtable (FSR)	\$208,000.00	\$62,400.00
MetroHartford Alliance	\$200,000.00	\$2,400.00
U.S. Chamber of Commerce	\$115,026.95	\$28,756.74
Surety & Fidelity Association of America	\$103,692.56	\$2,328.45
Insurance Association of Connecticut (IAC)	\$101,500.00	\$81,200.00
Coalition for American Insurance	\$60,000.00	\$60,000.00
HR Policy Association	\$50,000.00	\$30,000.00
Florida Insurance Council (FIC)	\$36,178.75	\$13,928.82
Association of California Life & Health Insurance Companies (ACLHIC)	\$35,500.00	\$2,485.00
Connecticut Business & Industry Association (CBIA)	\$35,000.00	\$14,000.00
Total	\$4,754,165.26	\$580,035.04

The Hartford Advocates Funds | Visit [THE HARTFORD ADVOCATES FUNDS WEBSITE](#)

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